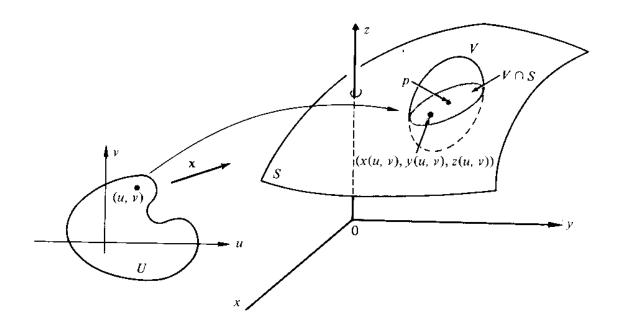
# Regular Surfaces

#### Definition 1:

A subset  $S \subset R^3$  is a *regular surface* if, for each  $p \in S$ , there exists a neighborhood V in  $R^3$  and a map  $\mathbf{x}: U \to V \cap S$  of an open set  $U \subset R^2$  onto  $V \cap S \subset R^3$  such that

- x is differentiable.
- $\mathbf{x}$  is a homeomorphism. Since  $\mathbf{x}$  is continuous, this means that  $\mathbf{x}$  has an inverse  $\mathbf{x}^{-1}:V\cap S\to U$  which is continuous; that is, $\mathbf{x}^{-1}$  is the restriction of a continuous map  $F:W\subset R^3\to R^2$  defined on an open set W containing  $V\cap S$ .
- For each  $q \in U$ , the differential  $d\mathbf{x}_q : R^2 \to R^3$  is one-to-one. (*The regularity condition*).



The mapping  $\mathbf{x}$  is called a parameterization or a system of (local) coordinates in (a neighborhood of) p. The neighborhood  $V \cap S$  of p in S is called a coordinate neighborhood.

Note that a surface is defined as a subset S of  $\mathbb{R}^3$ , not as a map as in the curve case. This is achieved by covering S with the traces of parameterization which satisfy the three conditions.

#### Remarks:

- ullet Condition 1 is natural if we need to do differential calculus on S.
- Condition 2 has the purpose of preventing self-intersection in regular surfaces. It is also essential to prove that certain objects defined in terms of a parameterization do not depend on this parameterization but only on S itself.
- Condition 3 (one of the Jacobian determinants do not equal to zero) will guarantee the existence of a tangent plane at all points of S.

## Proposition 1:

If  $f:U\to R$  is a differentiable function in an open set U of  $R^2$ , then the graph of f, that is, the subset of  $R^3$  given by (x,y,f(x,y)) for  $(x,y)\in U$ , is a regular surface.

### Definition 2:

Given a differentiable map  $F:U\subset R^n\to R^m$  defined in an open set U of  $R^n$ , we say that  $p\in U$  is a *critical point* of F if the differential  $dF_p:R^n\to R^m$  is not a surjective (or onto) mapping. The image  $F(p)\in R^m$  of a critical point is called *critical value* of F. A point of  $R^m$  which is not a critical value is called a *regular value* of F.

 $a \in f(U)$  is a regular value of  $f: U \subset \mathbb{R}^3 \to \mathbb{R}$  if and only if  $f_x, f_y$  and  $f_z$  do not vanish simultaneously at any point in the inverse image

$$f^{-1}(a) = \{(x, y, z) \in U : f(x, y, z) = a\}$$

# Proposition 2:

If  $f:U\subset R^3\to R$  is a differentiable function and  $a\in f(U)$  is a regular value of f, then  $f^{-1}(a)$  is a regular surface in  $R^3$ .

### Proposition 3:

Let  $S \subset R^3$  be a regular surface and  $p \in S$ . Then there exists a neighborhood V of p in S such that V is the graph of a differentiable function which has one of the following three forms: z = f(x, y), y = g(x, z), x = h(y, z).

Proposition 1 says that the graph of a differentiable function is a regular surface. Proposition 3 provides a local converse of it; that is, any regular surface is locally the graph of a differentiable function.

### Proposition 4:

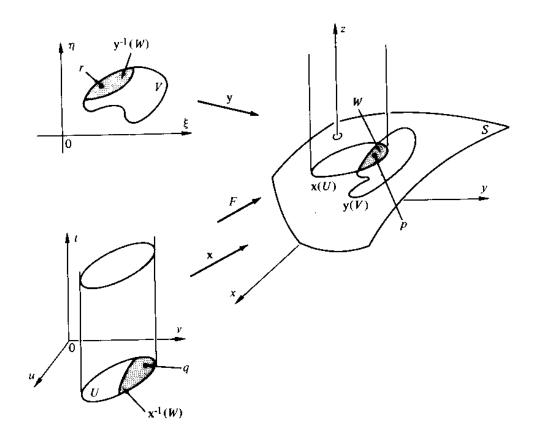
Let  $p \in S$  be a point of a regular surface S and let  $\mathbf{x}: U \subset R^2 \to R^3$  be a map with  $p \in \mathbf{x}(U) \subset S$  such that conditions 1 and 3 of Definition 1 hold. Assume that  $\mathbf{x}$  is one-to-one, then  $\mathbf{x}^{-1}$  is continuous.

It basically says that if we already know that S is a regular surface and we have a candidate  $\mathbf{x}$  for a parameterization, we do not have to check that  $\mathbf{x}^{-1}$  is continuous, provided that the other conditions hold.

# **Change of Parameters**

### Remarks:

- We are interested in those properties of surfaces which depend on their behavior in a neighborhood of a point.
- For regular surfaces, each point p belongs to a coordinate neighborhood, and we should be able to define the local properties in terms of these coordinates.
- The same point p can, however, can belong to various coordinate neighborhoods. Moreover, other coordinate systems could be chosen in a neighborhood of p. It must be shown that when p belongs to two coordinate neighborhoods, it is possible to pass from one of the coordinates to the other by means of a differentiable transformation.



# Proposition 1:

Let p be a point of a regular surface S, and let  $\mathbf{x}:U\subset R^2\to S,\mathbf{y}:V\subset R^2\to S$  be two parameterizations of S such that  $p\in\mathbf{x}(U)\cap\mathbf{y}(V)=W$ . Then the change of coordinates  $h=\mathbf{x}^{-1}\circ\mathbf{y}:\mathbf{y}^{-1}(W)\to\mathbf{x}^{-1}(W)$  is a diffeomorphism; that is, h is differentiable and has a differentiable inverse  $h^{-1}$ .

If x and y are given by

$$\mathbf{x}(u,v) = (x(u,v), y(u,v), z(u,v)), (u,v) \in U$$
  
 $\mathbf{y}(\xi,\eta) = (x(\xi,\eta), y(\xi,\eta), z(\xi,\eta)), (\xi,\eta) \in V$ 

then the change of coordinate h, given by

$$u = u(\xi, \eta), v = v(\xi, \eta), (\xi, \eta) \in \mathbf{y}^{-1}(W)$$

has the property that the functions u and v have continuous partial derivatives of all orders, and the map h can be inverted, yielding

$$\xi = \xi(u, v), \eta = \eta(u, v), (u, v) \in \mathbf{x}^{-1}(W)$$

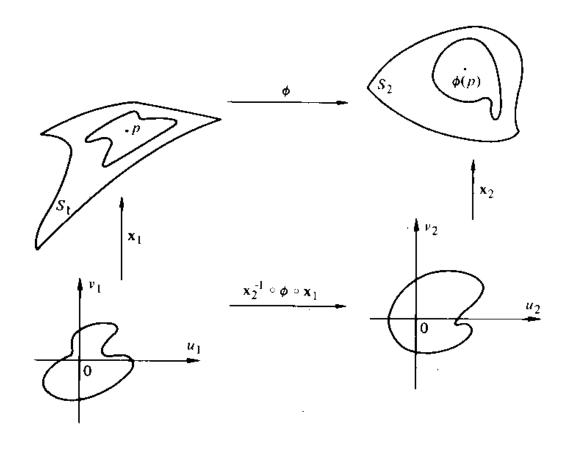
where the function  $\xi$  and  $\eta$  also have partial derivatives of all orders. Since

$$\frac{\partial(u,v)}{\partial(\xi,\eta)}\frac{\partial(\xi,\eta)}{\partial(u,v)} = 1$$

this implies that the Jacobian determinants of both h and  $h^{-1}$  are nonzero everywhere.

### Definition 1:

Let  $f: V \subset S \to R$  be a function defined in an open subset V of a regular surface S. Then f is said to be differentiable at  $p \in V$  if, for some parameterization  $\mathbf{x}: U \subset R^2 \to S$  with  $p \in \mathbf{x}(U) \subset V$ , the composition  $f \circ \mathbf{x}: U \subset R^2 \to R$  is differentiable at  $\mathbf{x}^{-1}(p)$ . f is differentiable in V if it is differentiable at all points of V.



The definition of differentiability can be easily extended to mappings between surfaces. A continuous map  $\varphi: V_1 \subset S_1 \to S_2$  of an open set  $V_1$  of a regular surface  $S_1$  to a regular surface  $S_2$  is said to be differentiable at  $p \in V_1$  if, given parameterizations

$$\mathbf{x_1}: U_1 \subset R^2 \to S_1$$

$$\mathbf{x_2}: U_2 \subset \mathbb{R}^2 \to S_2$$

with  $p \in \mathbf{x}_1(U)$  and  $\varphi(\mathbf{x}_1(U_1)) \subset \mathbf{x}_2(U_2)$ , the map

$$\mathbf{x}_2^{-1} \circ \varphi \circ \mathbf{x}_1 : U_1 \to U_2$$

is differentiable at  $q = \mathbf{x}_1^{-1}(p)$ .

In other words,  $\varphi$  is differentiable if when expressed in local coordinates as

$$\varphi(u_1, v_1) = (\varphi_1(u_1, v_1), \varphi_2(u_1, v_1))$$

the functions  $\varphi_1, \varphi_2$  have continuous partial derivatives of all orders.

Two regular surfaces  $S_1$  and  $S_2$  are diffeomorphic is there exists a differentiable map  $\varphi: S_1 \to S_2$  with a differentiable inverse  $\varphi^{-1}: S_2 \to S_1$ . Such a  $\varphi$  is called a diffeomorphism from  $S_1$  to  $S_2$ .